

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification <sup>5</sup> : <b>A61K 9/127</b></p>	<p><b>A1</b></p>	<p>(11) International Publication Number: <b>WO 93/20802</b> (43) International Publication Date: <b>28 October 1993 (28.10.93)</b></p>
<p>(21) International Application Number: <b>PCT/US93/03291</b> (22) International Filing Date: <b>8 April 1993 (08.04.93)</b> (30) Priority data: <b>07/165,480</b> <b>9 April 1992 (09.04.92)</b> <b>US</b> (71) Applicant: <b>NORTHWESTERN UNIVERSITY (US/US);</b> <b>633 Clark Street, Evanston, IL 60208 (US).</b> (72) Inventors: <b>LANZA, Gregory, M. ; 209 Ridge Avenue, Unit</b> <b>3A, Evanston, IL 60202 (US). ONYUKSEL, M., Hayat ;</b> <b>90 South 6th Avenue, Apt. 201, LaGrange, IL 60525</b> <b>(US). KLEGERMAN, Melvin, E. ; 1211 W. Elmdale</b> <b>Avenue, Chicago, IL 60660 (US). VONESH, Michael, J.</b> <b>; 2296 Scott Road, Northbrook, IL 60062 (US).</b> <b>McPHERSON, David, L. ; 2314 N. Lincoln Park West,</b> <b>Chicago, IL 60614 (US).</b></p>	<p>(74) Agent: <b>FENTRESS, Susan, B.; Tilton Fallon Longinus &amp;</b> <b>Chestnut, 100 South Wacker Drive, Suite 960, Chicago,</b> <b>IL 60606 (US).</b> (81) Designated States: <b>CA, JP, European patent (AT, BE, CH,</b> <b>DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT,</b> <b>SE).</b> <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i></p>	
<p>(54) Title: <b>ACOUSTICALLY REFLECTIVE LIPOSOMES AND METHODS TO MAKE AND USE THE SAME</b></p> <p>(57) Abstract</p> <p>This invention relates to tissue specific acoustically reflective oligolamellar liposomes containing internally separated bilayers and methods to make and to use the same, alone as a perfusion ultrasonic contrast agent or conjugated to a ligand for tissue-specific ultrasonic image enhancement.</p>		

BEST AVAILABLE COPY

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	PR	Romania	MR	Martinique
AD	Andorra	GA	Ghana	MW	Malawi
BE	Belgium	GB	United Kingdom	NL	Netherlands
BF	Burkina Faso	GR	Greece	NO	Norway
BG	Bulgaria	GU	Guatemala	NZ	New Zealand
BJ	Benin	HU	Hungary	PL	Poland
BR	Brazil	IE	Ireland	PT	Portugal
CA	Canada	IT	Italy	RO	Romania
CF	Central African Republic	JP	Japan	SU	Soviet Federation
CG	Congo	KP	Democratic People's Republic of Korea	SD	Sudan
CH	Switzerland	KR	Republic of Korea	SE	Sweden
CI	Cote d'Ivoire	KZ	Kazakhstan	SK	Slovak Republic
CM	Cameroon	LI	Liechtenstein	SN	Senegal
CS	Czechoslovakia	LK	Sri Lanka	SU	Soviet Union
CZ	Czech Republic	LU	Luxembourg	TD	Chad
DE	Germany	MC	Monaco	TG	Togo
DK	Denmark	MD	Moldavia	UA	Ukraine
EE	Estonia	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	VN	Viet Nam

**Acoustically Reflective Liposomes and Methods  
to Make and Use the Same**

**1 Background of the Invention:**

**Field of this invention:** This invention relates to  
acoustically reflective oligolamellar liposomes containing  
internally separated bilayers and methods to make same for  
**5 ultrasonic image enhancement.**

**Description of the Prior Work in the Field:** Ultrasonic  
assessment of internal body organs or tissue is a well  
known technique. This assessment can be made by sending a  
signal with a waveform in the high frequency sound  
**10 spectrum ( $10^6$  cycles/second) and detecting the reflected**  
ultrasound properties. In current ultrasound procedures,  
the inherent reflective properties of the tissue are  
studied.

In one recent patent, U.S. Patent No. 4,900,540 a  
**15 method for producing liposomes having an encapsulated gas**  
is described. Liposomes are phospholipid bilayers  
discretely encapsulating an aqueous compartment. The  
composition and form of these lipid vesicles are analogous  
to that of cell membranes with hydrophilic polar groups  
**20 directed inward and outward toward the aqueous media and**  
hydrophobic fatty acids intercalated within the bilayer.  
Liposomes form spontaneously from a dry lipid film exposed  
to an aqueous medium and may be unilamellar and/or  
multilamellar. Unilamellar vesicles are typically

-2-

1 classified as small (20 to 200 nm diameter) or large  
(approximately 1 micron). Multilamellar liposomes are  
classically described as having concentric bilayers, an  
"onion morphology". A type of multilamellar liposome  
5 termed oligolamellar liposomes are typically described as  
multilamellar liposomes with increased aqueous space  
between bilayers or have liposomes nested within bilayers  
in a nonconcentric fashion. Liposomes have many uses but  
10 are considered to be highly desirable for drug delivery  
and diagnostic applications.

As previously discussed, the "540" patent discloses a  
method for producing liposomes having an encapsulated  
gas. It is said that these liposomes can be injected for  
15 in vivo enhancement of organ imaging with ultrasound. A  
gas charged particle in vivo, however, may not be stable.  
Thus, it is desirable to develop a stable acoustically  
reflective liposome by controlling composition, structure  
and size alone.

20

#### Summary of the Invention

This invention provides acoustically reflective  
liposomes amenable to ligand conjugation for targeted  
ultrasonic enhancement. These liposomes enhance the

-3-

1 acoustic reflectivity based solely on their composition,  
structure and size. This invention provides an  
acoustically reflective oligolamellar liposome containing  
internally separated lipid bilayers. More specifically,  
5 this invention provides an acoustically reflective  
liposome particle made by incorporating  
phosphatidylethanolamine with other lipids and having a  
mean particle size of between about .8 and 10 microns.  
This composition has a oligolamellar structure and it is  
10 theorized that the resultant lamellar structure together  
with the mechanical properties of the phospholipid bilayer  
make the liposomes acoustically reflective. The liposomes  
typically include phospholipids such as  
phosphatidylcholine and phosphatidylethanolamine and can  
15 also include cholesterol.

This invention further provides a composition wherein  
the acoustically reflective liposome may be conjugated to  
a tissue specific ligand. Preferably, this ligand is an  
antibody or antibody fragment.

20 The acoustically reflective liposome of this invention  
can be made by incorporating phosphatidylethanolamine into  
a typical phosphatidylcholine based liposome particle,  
optionally reducing the size of the particle to less than  
about 400 nm, lyophilizing the particle, and reducing the

-4-

1 particle size to between about 0.8 and 10 microns. This  
liposome particle can be chemically altered to covalently  
couple a tissue specific ligand. As an alternative to  
lyophilization, the acoustically reflective liposomes of  
5 this invention can be made by double-emulsion method in an  
organic solvent such as triolein or by repeated  
freeze-thawing of the liposomes. This invention further  
provides a method to prepare targeted, acoustically  
reflective liposomes to characterize specific tissues  
10 involving administering ligand-conjugated acoustically  
reflective, oligolamellar liposomes containing internally  
separated bilayers devoid of gas, and measuring ultrasonic  
reflectance of the specific tissue of interest before and  
after liposome administration and comparing the results  
15 for enhanced acoustic reflectance. Specifically, this  
method can be used to characterize a ventricular thrombus;  
although many other types of tissue can be analyzed. This  
invention also provides a method to enhance tissue  
perfusion (i.e. use as a contrast agent). In these  
20 situations, the contrast agent could be the liposome  
without conjugated ligands.

This invention also provides a method to monitor a  
drug delivered in a liposome administered to a patient's  
circulatory system. This method involves mixing a  
25 liposome containing the drug targeted to specific tissue  
and (if the drug containing liposome is not acoustically

-5-

- 1 reflective) an acoustically reflective liposome devoid of  
any gas targeted to the same tissue to form a dispersion,  
administering the dispersion to a patient and monitoring  
the delivery of the liposome containing the drug by  
5 detecting the acoustically reflective liposome.

#### Brief Description of the Figures

Figure 1 is an ultrasonic image of a buffer control  
obtained in vitro using 20 MHz intravascular catheter.

- 10 Figure 2 is an ultrasonic image of pure  
phosphatidylcholine vesicles prior to extrusion through  
NUCLEPORE (Costar Co.) membranes obtained in vitro using  
20 MHz intravascular catheter.

- Figure 3 is an ultrasonic image of phosphatidylcholine  
15 and cholesterol vesicles prior to extrusion obtained in  
vitro using 20 MHz intravascular catheter.

Figure 4 is an ultrasonic image of phosphatidylcholine  
and phosphatidylethanolamine vesicles prior to extrusion  
in vitro using 20 MHz intravascular catheter.

- 20 Figure 5 is an ultrasonic image of  
phosphatidylcholine, phosphatidylethanolamine and  
cholesterol vesicles prior to extrusion in vitro using 20  
MHz intravascular catheter.

- Figure 6 is an ultrasonic image of a  
25 phosphatidylcholine and cholesterol vesicles after

-6-

1 extrusion in vitro using 20 MHz intravascular catheter.

Figure 7 is an ultrasonic image of MHz intravascular catheter in vitro phosphatidylcholine and phosphatidylethanolamine vesicles after extrusion obtained  
5 in vitro using 20 MHz intravascular catheter.

Figure 8 is an ultrasonic image of phosphatidylcholine, phosphatidylethanolamine and cholesterol vesicles after extrusion obtained in vitro using 20 MHz intravascular catheter.

10 Figure 9 is a schematic drawing of different types of liposome particles, A-E.

#### Detailed Description of the Invention and Best Mode

In accordance with this invention, acoustically  
15 reflective liposomes are provided which may be used alone as an ultrasonic contrast agent or which are conducive to site specific ligand conjugation to enhance ultrasonic imaging of specific tissues types or receptors in the body.

20 The inventors have discovered that the echogenicity of liposomes is a function of composition, morphology and size. With respect to morphology, the inventors have found that liposomes may be simply produced by mechanical dispersion of a dried phospholipid film (e.g.  
25 phosphatidylcholine) into an aqueous medium. This procedure typically produces classical multilamellar and



-7-

1 fewer oligolamellar vesicles. The addition of  
phosphatidylethanolamine imparts morphological changes to  
the arrangement of the bilayers. It is hypothesized that  
the head groups of the phosphatidylethanolamine results in  
5 this acoustically reflective arrangement, speculated to be  
an oligolamellar type of liposome. Furthermore, liposomes  
with similar acoustic properties may be produced by the  
inclusion of charged lipids, (e.g. phosphatidylserine)  
which could lead to a more oligolamellar distribution  
10 secondary to internal repulsion of the lipid bilayers.

More specifically, the above described oligolamellar  
liposomes possess a series of membrane-fluid interfaces  
that in the aggregate are ultrasonically reflective.  
Liposomes produced by the same method, but without the  
15 incorporation of phosphatidylethanolamine or charged  
lipids (e.g. pure phosphatidylcholine vesicles) are not  
echogenic because, despite their multilamellar morphology,  
the bilayers are typically closely opposed and act as a  
single acoustic interface.

20 Although both classic multilamellar and oligolamellar  
liposomes may be created directly from a dried lipid film  
without lyophilization, the inventors suspect that the  
classical multilamellar form predominates this approach  
and that such vesicles are less echogenic than the  
25 oligolamellar form which may predominate after rehydration

-8-

1 of freeze-dried liposomes. See Figure 9. Figure 9A  
demonstrates a small unilamellar liposome, Figure 9B a  
large unilamellar liposome, Figure 9C a classical  
multilamellar liposome (classical), Fig. 9D and 9E two  
5 types of oligolamellar liposomes (concentric and vesicular  
respectively) Liposomes 9A, 9B and 9C are nonechogenic,  
i.e. minimally acoustically reflective. It is believed  
that liposomes 9A, 9B and 9C do not have a sufficient  
number of interfaces for detectable acoustic reflection.  
10 Liposomes 9D and 9E are echogenic, i.e. acoustically  
reflective, probably due to the bilayers being separate  
enough to give sufficient reflective interfaces.

Ultrasonically reflective liposomes may be created by  
any procedure which creates oligolamellar vesicles with  
15 internally separated bilayers. The inventors have  
demonstrated the use of liposome composition (i.e.  
phosphatidylethanolamine incorporation) to create this  
effect, but one can easily envision mechanical means to  
the same end. One example is the conjugation of ligands  
20 (i.e. antibodies, peptides, lectins, etc.) to lipid  
components of the membrane then incorporation of these  
components between layers of the multilamellar vesicles by  
a suitable process. In this scenario, the relatively  
large size of the ligands bound to the inner and outer  
25 bilayer surfaces could either primarily spread or

-9-

- 1   secondarily enhance the separation of multilamellar  
bilayers.

          Oligolamellar liposomes may be prepared by but are not  
limited to the following processes: lyophilization,  
5   repeated freeze-thaw, a modified double emulsion  
technique. Production through lyophilization is the  
current standard operating procedure. The acoustically  
reflective liposome particle is made by combining  
phosphatidylethanolamine with other lipids (e.g.  
10   phosphatidylcholine) into a dried film, resuspending the  
film with deionized water with or without cryoprotectant,  
to form a liposome, reducing the size of the particles to  
less than about 400 nm, lyophilizing the particles, and  
resuspending the particles in buffer. Particles may then  
15   be extruded to a size between about 0.8 and 10 microns.  
This method is the most conducive to conjugating protein  
ligands since it avoids exposure of the protein to organic  
solvents, evaporation or mechanical destruction. The  
antibody is conjugated in an aqueous buffer to unilamellar  
20   liposomes less than 400 nm in diameter for maximum  
efficiency and the conjugate may be freeze-dried with  
mannitol to help protect the peptide while allowing the  
vesicles to structurally degrade.

          Alternatively, in the modified double emulsion method,  
25   an organic solution containing triolein, phospholipids and

-10-

1 cholesterol is combined on a volume to volume basis with  
an aqueous solution typically containing materials to be  
entrapped. This solution is vigorously agitated to form a  
water-in-oil emulsion. This emulsion is then combined  
5 with a sucrose solution and agitated again, creating a  
water-in-oil-in-water emulsion, or a double emulsion.  
Evaporation of the organic solvent leaves  
multicompartmental liposomes. This method is compatible  
with liposome encapsulation of but is less conducive for  
10 conjugate with proteins or other biological macromolecules  
(Kim, S., Turker, M.S., Chi, E. Y., Sela, S. and Martin,  
G.M., 1983 Biochem. Biophys. Acta 728,339; Gao, K. and  
Huang, L., 1987 Biochem. Biophys. Acta 897, 377).

Another method for producing oligolamellar liposomes  
15 is a variant of the lyophilization method and involves  
freezing and thawing of small unilamellar liposomes (Pick,  
U. 1981 Arch. Biochem. Biophys., 212, 186). The repeated  
freeze-thawing of liposomes leads to membrane rupture and  
reannealing into larger multicompartmental vesicles.  
20 These membranes typically incorporate charged  
phospholipids into the bilayers to provide a nidus for ice  
crystal formation. Although the protein ligands could  
easily be conjugated to the smaller unilamellar liposomes,  
repeated freeze-thawing of biologically active proteins  
25 may destroy their bioactivity and reduce their efficacy as  
targeting ligands.

-11-

1       The liposomes which have been found to be useful in  
this invention incorporate phosphatidylethanolamine into  
the bilayer liposomes that can be formed into a vesicular  
structure. The liposome can be formed from lipids such as  
5   phosphatidylcholine (PC) and phosphatidylethanolamine  
(PE). Preferably the phosphatidylcholine ranges from  
about 50 to 95 mol % of the lipid content of the liposome,  
but can generally range from 60 to 90 mol % of the  
liposome, while phosphatidylethanolamine preferably ranges  
10   from 2 to 20 mol %, but generally from about 5 to 10 mol  
percent of the lipid content of the liposome.

Incorporation of cholesterol, another neutral lipid,  
has been found to contribute an echogenic component to  
liposomes which was lost after polycarbonate extrusion,  
15   suggesting that large liposome size increases  
echogenicity. Work by Rhoden and Golden (Rhoden, V and  
Goldin, S 1979 Biochemistry 18, 4173) has indicated that  
incorporating cholesterol into the lipid bilayer will  
increase particle size while, the addition of charged  
20   phospholipids decrease vesicle size. Cholesterol  
intercalates within the phosphatidylcholine bilayer with  
very little change in area by occupying the regions  
created by the bulky phosphatidylcholine headgroups. This  
increases the packing density and structural stability of  
25   the bilayer and may contribute to acoustic character (New,

-12-

- 1 R.R.C., 1990 In New, R.R.C. (ed): Liposomes: a practical approach, (ed), Oxford University Press, New York, pp 19-21).

5 With respect to size, it was found that liposomes of approximately one to four microns in size were lyophilized without excipients and were echogenic upon resuspension with Tris buffer, pH 7.5. Additionally, it was found that decrease of prelyophilization particle size to less than one micron with probe sonication and a sugar excipient  
10 resulted in echogenic liposomes. During lyophilization, the smaller vesicles may break down more completely and enhance the lipid interactions upon rehydration. The addition of sugar (mannitol) was selected to provide bulk to the lyophilized powder without cryoprotecting the  
15 vesicle size. Other sugars, such as trehalose or maltose can be used to provide bulk, but also tend to preserve liposome integrity during freeze-drying and may be contraindicated in the production of echogenic liposomes from small unilamellar liposomes.

20 The smaller the liposomes the higher the ultrasonic frequency required to resolve the vesicle. In practice, a single liposome (1-2 microns) is not resolvable with commercially available diagnostic ultrasonic transducers. However, discrete liposomes and the coalescence of  
25 liposomes either randomly in solution or secondary to

-13-

1 specific targeting ligands contribute to ultrasonic  
scattering, and can create an acoustic interface which may  
be visualized and quantified. Moreover, in vivo, targeted  
echogenic liposomes will benefit from enhanced  
5 echogenicity secondary to creation of multiple  
liposome-tissue interfaces and a transient increase in  
target tissue size and density.

An acoustically reflective liposome particle generally  
ranges from .8 to 10 microns, but preferably 1.0 to 3.0  
10 microns.

In summary, the lyophilization method (referred to as  
dehydration-rehydration procedure) appears to provide the  
preferred method for creating echogenic, protein-targeted  
liposomes. The small unilamellar vesicles are optimum for  
15 protein conjugation and can be ruptured and enlarged into  
multivesicular liposomes without destroying the biological  
activity of the ligand. This method was first suggested  
by Kirby and Gregoriadis (Kirby, C. and Gregoriadis, G.,  
1984 Biotechnology 2, 979 (hereby incorporated by  
20 reference) for the purpose of increasing liposome  
entrapment efficiency. The inventors have discovered that  
liposomes produced by this method with the appropriate  
chemical composition are echogenic and suitable for  
targeting.

25 The acoustically reflective liposomes can be  
conjugated to a site specific ligand such as an antibody,

-14-

- 1 lectin, peptide or nucleic acid. A variety of methods  
have been reported to attach proteins to liposomes  
covalently. (Martin, F.J., Heath, T.D. and New, R.R.C.,  
1990 In Liposomes: a practical approach. Oxford  
5 University Press, New York, pp 163-182; hereby  
incorporated by reference). The most popular methods  
involve synthesis of thiol-reactive lipids using either  
N-succinimidyl-pyridyl-dithiopropionate (SPDP) or  
N-succinimidyl-(4-[p-maleimidophenyl])-butyrate (SMPB).  
10 SPDP produces a reversible disulfide bond and SMPB  
produces an irreversible thioester. The conjugation  
process is essentially the same for both reagents and the  
inventors have elected to use SPDP for in vitro  
experiments reported herein.
- 15 S-acetylmercaptosuccinic anhydride can be used instead  
of SPDP with a substitution of hydroxylamine for  
dithiothreitol in the process to reduce the number of  
purification steps required (Martin, F.J., et al. Supra.  
163-182).
- 20 Another method described by Heath et al. (Heath, T.D.,  
Maher, B.A. and Paphadjopoulos, D., 1981: Biochem.  
Biophys. Acta 599, 42) involves the use of periodate to  
create a Schiff base between glycolipids incorporated into  
the lipid membrane and primary or secondary amino groups  
25 on proteins. This method provides 20% binding of the



-15-

- 1 initial protein with a theoretical maximum of 40%.  
Conjugation to larger liposomes appears better than  
smaller unilamellar liposomes, a factor which may be less  
desirable for producing echogenic liposomes by  
5 lyophilization.

Phosphatidylethanolamine can also be derivatized by  
attaching a bifunctional straight-chain (6-8 carbons)  
dicarboxylic acid which can bridge between the lipid and  
protein. The phosphatidylethanolamine may be derivatized  
10 by either a di-N-hydroxysuccinimide derivative or by  
reaction with carbodiimide. The former route must be used  
with a process which prepares liposomes rapidly without  
significant subsequent processing. The carbodiimide  
method is prone to extensive cross-linking and often  
15 requires citraconylate blocking of endogenous amino  
groups. This blocking reagent is removed at pH 4.4 which  
may precipitate peptides or begin to hydrolyze the  
liposome membranes (Martin, P.J., et al. Supra. at  
163-182).

- 20 Liposomes can be conjugated with Protein A or Protein  
G, which have inherent affinity for immunoglobulins.  
Liposomes can be conjugated with avidin, which strongly  
binds to biotin that can be attached to immunoglobulins or  
other ligands thereby effecting a coupling of the ligand  
25 to liposomes. Finally, sugars and other oligosaccharides

-16-

- 1 May be conjugated to liposomes containing a pure or  
SFDP-derivatized phosphatidylethanolamine via endogenous  
aldehyde groups of the saccharide by introducing a thiol  
residue onto the sugar or by carboxylating free hydroxyl  
5 groups with succinic anhydride followed by a carbodiimide  
coupling reaction. (Martin, F.J., et al. Supra at 163-182).

An acoustically reflective liposome particle may be  
used alone as an ultrasonic contrast agent or with a  
ligand conjugation for specifically targeted ultrasonic  
10 image enhancement. More specifically, ligand-targeted,  
acoustically reflective liposomes may be used to enhance  
ultrasonic imaging of intravascular structures as well as  
extravascular structures accessible due to increased  
permeability of the vasculature or by direct  
15 administration into a nonvascular space. The potential  
cardiovascular targets include myocardial tissue  
(antimyosin antibody), vascular clot (anti-fibrin  
antibody), vegetations (anti-bacterial determinant  
antibody), endothelial surface (anti-receptor or surface  
20 determinant antibody) and tumors (anti-tumor antibody).  
Additionally, other tissue structures in the penetrable  
spaces of joints spaces, (lymphatic system; urogenital or  
pulmonary bronchial alveolar tree) may be ultrasonically  
enhanced with specific acoustically reflective liposomes  
25 administered directly into these spaces.

-17-

1       The routes of administration include intravascularly,  
intralymphatically, intrathecally, intraurologically,  
intracapsularly, and bronchial lavage. The acoustically  
reflective liposome made be administered as a bolus of  
5 liposomes or as an infusion in a pharmaceutically  
acceptable carrier such as saline or glucose in water.

As with other injected pharmaceutical agents, the  
acoustically reflective liposome, is administered as a  
sterile compound. To prepare a sterile composition of  
10 matter the acoustically reflective liposome is prepared  
under aseptic conditions using sterilized buffers and  
reagents.

Visualization of the in situ liposomes is possible  
with virtually all ultrasonic imaging modalities,  
15 including intravascular (catheter-based), transcutaneous  
transvascular/epicardial (conventional echocardiography,  
vascular or high frequency) and transesophageal  
echocardiography. The precise imaging technique  
appropriate for a given application must take into account  
20 the clinical objective of the procedure, anatomic site of  
interest and medical condition of the patient.

Two important uses for liposome enhancement to tissue  
would be the following. First, the standard  
transcutaneous or transesophageal ultrasound would be used  
25 to identify cardiac structure. Liposomes would

-18-

1 subsequently be used to enhance structure definition,  
either through their perfusion into a vascular bed to  
identify regions of perfusion (i.e myocardial) or to  
identify pathologic structures by directly or indirectly  
5 "highlighting" of the target. The transducers that are  
used utilize probes from 2 to 15 MHz and are placed  
transcutaneously/transvascular/epicardially/or  
transesophageally to image cardiovascular structures.

In the second instance, liposomes would be utilized in  
10 conjunction with intravascular ultrasound imaging  
devices. These devices generate images of vascular  
structure and operate at a frequency of 10-100 MHz. These  
catheters do not generally impede blood flow and they  
would be directed to the region of interest with the  
15 liposomes highlighting structures such as plaque, thrombus  
or endothelial receptors/determinants.

Using either the intravascular or the  
transcutaneous/transvascular/epicardial/transesophageal  
method, the 2-dimensional B-mode ultrasound images  
20 generated are amenable to more sophisticated image  
processing and/or analysis. Gray-scale texture analysis,  
radiofrequency signature analysis and a variety of  
additional complementary ultrasonic material  
characterization techniques may find use in enhancing the  
25 interpretation of these data.

-19-

EXAMPLE 1Production of Echogenic Liposomes Using a  
Lyophilization Methodology "Best Mode"

1        This procedure describes methods and reagents required  
to make acoustically reflective, oligolamellar liposomes  
using a composition and method conducive to the production  
of antibody targeted vesicles. Freeze-drying is

5        lyophilization

## Reagents:

- 1) Phosphatidylcholine, from egg yolk (PC) as an  
alcoholic solution
- 2) Cholesterol (Chol)
- 10 3) Phosphatidylethanolamine, dipalmitoyl (PE)
- 4) Deionized water
- 5) Cryoprotectant sugar (e.g. mannitol)
- 6) Tris HCl
- 7) Sodium Phosphate (dibasic)
- 15 8) Sodium Chloride

## Equipment:

- 1) Rotary evaporator with external cooling, vacuum and  
heating.
- 2) Probe sonicator and ear protection.
- 20 3) Submicron particle size analyzer (e.g. NICOMP (Pacific  
Scientific) Malvern) and tubes.

-20-

- 1 4) Volumetric flasks, graduated cylinders, syringes,  
hypodermic needles, repipets/pipets and liquid  
scintillation vials (25 & 10 mL).
- 5) Stirrer/heating plate and stir bars.
- 5 6) Electronic balance (accurate to 0.1 or 0.01 mg).
- 7) pH meter and calibration standards.
- 8) Ring stand and clamps.
- 9) NUCLEOPORE (Costar Co.) filters and membranes in steps  
from 2 to 0.22 microns.
- 10 10) Bottle lyophilizer and associated equipment.
- 11) CVIS intravascular catheter system and 20 MHz imaging  
catheter.

## Procedure:

- 1) Warm vials of phosphatidylcholine and  
15 phosphatidylethanolamine to room temperature.
- 2) Weigh (according to electronic scale instructions)  
25mg of cholesterol and 20 mg of  
phosphatidylethanolamine.
- 3) Dissolve cholesterol in 2.5 mL of dry chloroform and  
20 phosphatidylethanolamine in 2.0 ml of dry chloroform  
using supplemental heat as required.
- 4) Combine in a 250 mL rotoevaporator flask: 73 mg of  
phosphatidylcholine, 11 mg phosphatidylethanolamine  
and 16 mg of cholesterol. Adjust as required for  
25 scale-up.

-21-

- 1 5) Attach flask to pre-cooled (0 degrees Centigrade)  
rotary evaporator with a 50 degree Centigrade warming  
bath.
- 5 6) Rotate flask rapidly (approximately 210 rpm) and dry  
under vacuum.
- 7) After the film is dry, remove flask from  
rotovaporator and place in desiccator under vacuum  
and cover desiccator with a dark cloth.
- 8) Continue to dry film under vacuum for 2 days.
- 10 9) Prepare 100 mM mannitol solution in deionized water.
- 10 10) Add 10 mL of mannitol solution per 100 mg of lipid.
- 11) Without significant agitation, place flask on  
rotovaporator without coolant circulating, flood  
atmosphere with nitrogen and rotate the flask  
15 (approximately 210 rpm) to resuspend lipid film.
- 12) When lipid film is resuspended, add one or two drops  
of liposome suspension to NICOMP (Pacific Scientific)  
tube and dilute with 100 mM mannitol solution,  
sufficiently for analysis.
- 20 13) Analyze particle size with NICOMP (Pacific Scientific)  
according to manufacturer's instructions.
- 14) Transfer liposomes from round bottomed flask to 25 mL  
liquid scintillation vial with a pipet and cap.
- 15) Secure vial to ring stand and submerge vial  
25 approximately two-thirds into a cool tap-water bath to  
dissipate excess heat during sonication.

-22-

- 1 16) Remove cap and position sonicator probe into the vial being sure not to leave the probe in contact with the glass and maintaining the tip of the probe about one quarter inch above the bottom. .
- 5 17) Set the sonicator for 10% duty and 10% power and sonicate for 3 minutes.
- 18) Recheck particle size as before with NICOAMP (Pacific Scientific). Continue to reduce particle size using 1 minute sonication bursts at same power and with  
10 increasing duty levels until vesicles are less than 400 nm. Increase power and repeat duty changes starting at 10% if additional sonication is required.
- 19) Transfer approximately 5 mL aliquots of liposome suspension into each of two 25 mL liquid scintillation  
15 vials, layer with nitrogen, and cap.
- 20) Either snap-freeze in acetone-alcohol-dry ice bath or freeze overnight in -70 Centigrade deep freezer.
- 21) Transfer vials from the freezer to the lyophilizer jars and freeze dry according to manufacturer's  
20 instructions for approximately 48 hours.
- 22) After 48 hours of lyophilization, remove vials from the freeze dryer, gently overlay contents with nitrogen, recap and seal vials with parafilm.
- 23) Store vials in refrigerator with desiccant up to 72  
25 hours until use.



-23-

- 1    24) Prepare 0.10 M Tris HCl + 0.10 M NaCl, pH 7.5 buffer.
- 25) To rehydrate, add 0.10 mM Tris HCl + 0.10 mM NaCl, pH  
         7.5, buffer to each vial. A good starting volume is  
         2-3 mL of buffer/mL of the desired liposome  
5       lyophilized.
- 26) Size liposomes with the NICOMP (Pacific Scientific)  
         submicron particle analyzer as previously discussed.
- 27) Transfer liposome suspension to an appropriately sized  
         syringe and extrude liposomes to desired particle size  
10       through polycarbonate membranes according to  
         manufacturer's instructions until desired size is  
         attained. Excessive extrusion will significantly  
         destroy liposome structure and diminish echogenicity.  
         If initial particle size is more than 1-2 microns  
15       above desired range, repeat procedure using a greater  
         post-lyophilization dilution factor to attain a  
         smaller initial vesicle size. Be sure sample for size  
         analysis dilution buffer is iso-osmotic with liposome  
         solution (300 milliosmoles) to avoid artifactual  
20       swelling or contraction of vesicles and inaccurate  
         size estimation.
- 28) Transfer liposomes into small (10 mL) liquid  
         scintillation vials and image liposome suspension with  
         20 MHz CVIS intravascular imaging catheter system.
- 25

-24-

- 1 . Reference: New, R.R., 1990, Liposomes: A Practical Approach. Oxford University Press. (hereby incorporated by reference).

## EXAMPLE 2 .

## 5       A. SPDP Derivitization of Phosphatidylethanolamine

The following procedures described methods to produce an N-succinimidyl pyridyl dithiopropionate (SPDP) derivitization of IgG antibody and phosphatidylethanolamine and their incorporation into a process for producing acoustically reflective liposomes as described in Example 1. This procedure describes methods and reagents required to make N-succinimidyl pyridyl dithiopropionate derivatives of phosphatidylethanolamine for incorporation into liposomes for antibody coupling reaction.

## Reagents:

- 1) L- $\alpha$ -phosphatidylethanolamine, dimyristoyl or dipalmitoyl, 99% purity (PE)
- 2) Triethylamine (TEA)
- 20 3) N-succinimidyl pyridyl dithiopropionate
- 4) Nitrogen
- 5) Silicic Acid
- 6) Methanol
- 7) Chloroform
- 25 8) Dodecamolybdophosphoric acid
- 9) Silica thin layer chromatography (TLC) plates

-25-

1

**Equipment:**

- 1) Liquid scintillation vial or test tube with cap
- 2) Stirrer/heating plate and stir bars
- 5 3) 20cc plastic syringe barrel with glass fiber plug
- 4) Fraction collector or test tube rack
- 5) TLC developing tank with filter paper lining
- 6) Electronic balance (accurate to 0.1 or 0.01 mg)
- 7) Graduated cylinders, repipets/pipets
- 10 8) Ring stand and clamps
- 9) Atomizer sprayer and spray box
- 10) Rotary evaporator with external cooling, vacuum, heating and 250 mL flasks.
- 11) Hamilton microliter syringe with lock

15

**Procedure:**

- 1) Weigh 15 mg of PE, 10 mg of SPDP, 2 g silicic acid, 3 g dodecamolybdophosphoric acid.
- 2) Dissolve PE in a liquid scintillation vial in  
20 approximately 2 mL chloroform using sufficient warming to facilitate dissolution but avoiding excess heat.
- 3) Dry PE under continuous nitrogen stream or with rotoevaporation.
- 4) Resuspend PE in 2.0 mL of chloroform.
- 25 5) Dissolve 200 microliters TEA in 25 mL methanol (2.9 mg/0.5 mL).

-26-

- 1 6) Dissolve 10 mg SPDP in 0.5 mL methanol with slight supplemental heating.
- 7) Add 0.5 mL TEA (2.9 mg) to PE in vial.
- 8) Add 0.5 mL SPDP (10 mg) to PE and TEA.
- 5 9) Add small stirring bar to vial.
- 10) Saturate atmosphere in vial with nitrogen and cap.
- 11) Stir mixture at room temperature for 2 hours.
- 12) In a 250 mL or greater flask add 130 mL of chloroform, 50 mL of methanol, and 10 mL of deionized water.
- 10 13) If solution is cloudy add 1-2 mL additional methanol to dry.
- 14) Add approximately half of the solution to the developing tank.
- 15) Cover tank and allow atmosphere and filter paper lining to saturate with solvents.
- 15 16) Slurry 2 g of silicic acid in 10-12 mL of chloroform.
- 17) Pour silicic acid slurry into syringe barrel supported on a ring stand.
- 18) Allow excess solvent to drain but do not allow column to dry.
- 20 19) Prepare phosphomolybdate spray by dissolving 3 g of dodecamolybdophosphoric acid in 10 mL of ethanol or reagent grade alcohol.
- 20 20) Place in sprayer and wrap sprayer in foil to blockout light until use.
- 25

-27-

- 1    21) After 2 hours check reaction by spotting (10  
microliters) the reaction mixture and pure  
phosphatidylethanolamine standards with a Hamilton  
syringe onto the thin layer chromatography plate.
- 5    22) When the solvent line on TLC plate is approximately  
1.0 cm from the top, remove the plate from the tank  
and air dry.
- 23) In a hood, spray dry plate with a light, even coat of  
phosphomolybdate spray.
- 10   24) Warm plate on a hot plate with low heat to develop  
black spots (100 degrees Centigrade). The test is  
qualitative. Derivatized phosphatidylethanolamine  
migrates faster than the pure standard lipid. The  
reaction is usually 100% complete. If the reaction is  
15   incomplete, add 1 mg of TEA and allow reaction to  
continue 30-60 minutes and recheck with thin layer  
chromatography.
- 25) When the reaction is complete, add mixture to silicic  
acid column and wash in with 4.0 mL chloroform.
- 20   26) Elute derivatized phosphatidylethanolamine in 2.0 mL  
fractions using 4.0 mL aliquots of the following  
chloroform:methanol solutions gradient: 4.0:0.25,  
4.0:0.50 4.0:0.75, 4.0:1.0, 4.0:1.25, 4.0:1.5,  
4.0:1.75, 4.0:2.0. Repeat 4.0:2.0 to ensure complete  
25   elution.

-28-

- 1 27) Layer each fraction with nitrogen after collection to inhibit oxidation.
- 28) Identify fractions with phosphatidylethanolamine derivative by thin layer chromatography as before.
- 5 29) Pool fractions containing derivative into a rotoevaporator flask.
- 30) Attach flask to rotoevaporator previously cooled with circulating cold water or coolant.
- 31) Dry derivative to a film and resuspend in 3.0 cc of  
10 chloroform.
- 32) With thin layer chromatography roughly quantitate phosphatidylethanolamine derivative concentration by comparing 15  $\mu$ L, 10  $\mu$ L, and 5  $\mu$ L spots of standard PE of known concentration to equal volumes of  
15 the derivative solution. Determine mg/mL of derivative.

-29-

**B. N-Succinimidyl Pyridyl Dithiopropionate  
Derivitization of IgG Antibody**

1        This procedure describes the N-succinimidyl pyridyl dithiopropionate derivitization (SPDP) of IgG antibody for conjugation with similarly modified liposomes.

5        **Reagents:**

- 1) N-hydroxysuccinimidyl-dithiopropionate (SPDP)
- 2) Sodium citrate
- 3) Anhydrous sodium phosphate, dibasic
- 4) Sodium chloride
- 10 5) Sephadex G-50
- 6) Ethanol or reagent grade alcohol
- 7) IgG antibody
- 8) BCA protein binding dye concentrate (BCA)
- 9) Dithiothreitol
- 15 10) Deionized water
- 11) Sodium acetate
- 12) Nitrogen
- 13) Dilute hydrochloric acid (HCl)
- 14) Glacial acetic acid (17.4 M)
- 20 15) Anhydrous potassium phosphate, monobasic

-30-

## 1 Equipment:

- 1) UV/VIS Spectrophotometer
- 2) 100 mL Gel Chromatography column and tubing
- 3) Fraction collector
- 5 4) Micropipets
- 5) Hamilton syringe (5-10  $\mu$ L capacity)
- 6) Pasteur pipets
- 7) Beaker/Flasks various sizes
- 8) Stirrer and stir bars
- 10 9) Analytical balance (accurate to 0.1 or 0.01 mg)
- 10) pH meter with micro and regular electrodes and appropriate standards
- 11) Ring stand and clamps
- 12) Amicon ultrafiltration system
- 15 13) Volumetric flasks

## Procedure:

- 1) Combine 19.7 g sodium citrate, 7.1 g anhydrous sodium phosphate, dibasic ( $\text{Na}_2\text{HPO}_4$ ), and 2.9 g sodium chloride per liter in a 1 or 2 liter beaker and fill  
20 75% with highly purified, deionized water. Usually prepare 2 L of buffer.
- 2) Adjust pH to 7.0 using dilute hydrochloric acid.
- 3) Transfer pH 7.0 buffer to volumetric flask with three  
25 washes of the beaker and fill to the mark with deionized water.



-31-

- 1 4) Weight 7.5g of SEPHADEX G-50 (Pharmacia Co.) and transfer to a 125 mL beaker.
- 5 5) Add 100 mL of citrate/phosphate buffer (step 1) to the beaker, cover and allow gel to swell at least 3 hours, preferably overnight.
- 6) After swelling, decant excess citrate/phosphate buffer and fines and resuspend gel in 100 mL of fresh buffer.
- 7) Assemble gel chromatography system.
- 8) Place 10 mL of citrate/phosphate buffer in base of column.
- 10 9) Load gel slurry into column as a continuous pour while draining excess buffer out of the column.
- 10) After column is poured, allow gel to settle.
- 11) Pass 10 bed volumes (approximately 750 mL) of citrate/phosphate buffer through the column and seal for use the next day. (Add 0.01% sodium azide to elution buffer if storing the column for more than 24 hrs).
- 15 12) The next day, drain excess buffer from the top of the column, leaving 5 mL above gel.
- 20 13) Prepare 0.1 M phosphate buffer, pH 7.5, by mixing 1.361 g anhydrous potassium phosphate, monobasic ( $\text{KH}_2\text{PO}_4$ ), in 90 mL of deionized water, titrate to pH 7.5, transfer to a 100 mL volumetric flask and fill to the mark with deionized water.
- 25

-32-

- 1 14) Prepare 0.5 M acetic acid by diluting 2.0 mL of concentrated acetic acid (17.4 M) with 67.6 mL deionized water.
- 5 15) Prepare 6 mg N-succinimidyl pyridyl dithiopropionate in 1.0 mL 30% ethanol.
- 16) Weigh 165 mg sodium acetate, combine with 10 mL deionized water and titrate to pH 5.5.
- 17) Weigh 95 mg dithiothreitol and dissolve in 250 microliters of sodium acetate buffer in a microcentrifuge tube.
- 10 18) Dissolve IgG antibody (e.g. 25 mg) in 0.1 M phosphate buffer (pH 7.5) at a concentration of 5 mg/mL.
- 19) Add 150 microliters of N-succinimidyl pyridyl dithiopropionate in 30% ethanol while stirring slowly, and continue stirring for 30 minutes.
- 15 20) Slowly decrease the pH (dropwise) of the antibody solution to pH 5.5 with 0.5 M acetic acid.
- 21) Add 50 microliters of dithiothreitol, cover solution with nitrogen and allow to stand 1 hour.
- 20 22) Drop the buffer level over SEPHADEX (Pharmacia) column to top of column and slowly add IgG mixture.
- 23) Run IgG into column and wash in with 2-3 mL citrate/phosphate buffer.
- 24) Fill column to top with buffer previously purged of oxygen with nitrogen, careful not to disturb column, and attach buffer reservoir layered with nitrogen or
- 25

-33-

- 1     . argon or continuously bubble nitrogen through the  
      elution buffer.
- 25) Elute column at 0.5 to 1.0 mL/min.
- 26) Layer each fraction with nitrogen or argon to avoid  
5     oxidation of sulphydryl groups.
- 27) Assay fraction on spectrophotometer at 280 nm and note  
      protein peaks. Usually, two peaks are detected but  
      the first protein peak is used. The second peak is  
      probably immunoglobulin fragments, though no  
10     confirmatory electrophoresis has been performed.
- 28) Combine fractions of high optical density and conduct  
      a BCA protein assay according to manufacturer's  
      instructions to estimate protein concentration.
- 29) If the protein concentration is too dilute, to  
15     concentrate antibody under nitrogen or argon with  
      Amicon ultrafiltration system according to the  
      manufacturer's instructions.
- 30) Combine antibody with liposomes as previously  
      discussed and proceed with conjugation.

20

**C. Production of Echogenic Antibody-conjugated  
Liposomes Using a Freeze-drying Methodology**

This procedure describes methods and reagents required  
to make acoustically reflective, oligovesicular liposomes  
25   conjugated to IgG antibodies through a disulfide bridge

-34-

- 1 using N-succinimidyl pyridyl dithiopropionate derivatives of phosphatidylethanolamine and antibody.

Reagents:

- 5 1) SPDP derivatized L- $\alpha$ -phosphatidylethanolamine (PE-PDP)  
2) Phosphatidylcholine (PC)  
3) Cholesterol (Chol)  
4) Phosphatidylglycerol (PG)  
5) Deionized water  
10 6) Mannitol  
7) Sodium citrate  
8) Sodium phosphate (dibasic)  
9) Sodium chloride  
10) Reagent grade alcohol  
15 11) Biogel A-5M (100-200 Mesh)

Equipment:

- 1) Rotary evaporator with external cooling, vacuum and heating  
20 2) Probe sonicator and ear protection  
3) Submicron particle size analyzer (e.g. NICOMP, Malvern, Coulter) and tubes  
4) Fraction collector or test tube rack  
5) Volumetric flasks  
25 6) Stirrer/heating plate and stir bars

-35-

- 1 7) Electronic balance (accurate to 0.1 or 0.01 mg)
- 8) Liquid scintillation vial or suitable substitute
- 9) Graduated cylinders
- 10) Repipets/pipets
- 5 11) Ring stand and clamps
- 12) NUCLEPORE (Costar Co.) filters and membranes from 2 to 0.22 microns

## Procedure:

- 10 1) Warm vials of phosphatidylcholine to room temperature.
- 2) Weigh 25 mg of cholesterol.
- 3) Dissolve cholesterol in 2.5 mL of chloroform.
- 4) Transfer to a rotoevaporator by pipet 73 mg of phosphatidylcholine, 11 mg derivatized
- 15 phosphatidylethanolamine, 16 mg cholesterol. Adjust as required for scale-up.
- 5) Attach flask to 0 degree centigrade pre-cooled rotoevaporator with 50 degree centigrade warming bath.
- 6) Dry lipid film under vacuum at rotational speed of
- 20 approximately 210 rpm.
- 7) Remove flask from rotary evaporator and place in desiccator under vacuum and cover desiccator with a dark cloth.
- 8) Dry film for 2 days.
- 25 9) Prepare 100 mM mannitol solution in deionized water.
- 10) Add 10 mL of mannitol solution per 100 mg of lipid.

-36-

- 1 11) Rotate the flask at 210 rpm to resuspend lipid film.
- 12) When lipid film is resuspended, add one to two drops to NIOAMP tube and dilute with 100 mM sugar water solution.
- 5 13) Analyze particle size.
- 14) Transfer liposomes from round bottomed flask to liquid scintillation vial.
- 15) Secure vial to ring stand and submerge vial approximately two-thirds into cool tap water flask to  
10 dissipate excess heat during sonication.
- 16) Position sonicator probe about one quarter inch above the flask bottom and not in contact with the glass vial.
- 17) Set the sonicator for 10% duty and 10% power and  
15 sonicate for 3 minutes.
- 18) Recheck particle size. Continue to reduce particle size using 1 minute sonication bursts at same power and with increasing duty levels until vesicles are less than 400 nm. Increase power and repeat duty  
20 changes starting at 10% if additional sonication is required.
- 19) Derivatize antibody as previously described.
- 20) To conjugate reduced antibody to liposomes, combine liposomes with reduced, derivatized protein to have a

-37-

- 1 coupling ratio ( $\mu\text{g protein}/\mu\text{mol lipid}$  ---.6  $\text{mg}$   
protein/ $\mu\text{mol lipid}$ ) of 390-500 and a final protein  
concentration of approximately 0.5  $\text{mg/mL}$ . Buffer  
associated with protein should provide sufficient  
5 buffering capacity to maintain pH at 7.0 at a minimal  
ionic strength.
- 21) Allow antibody conjugation to proceed for 12-18 hours  
at room temperature.
- 22) Fill chromatography column with gel at a ratio of at  
10 least 10 mL of BIOGEL-A 5M (100-200 mesh) to 1 mL of  
liposome suspension. Put 10 mL of buffer in column  
before adding gel and then fill the column while  
slowly running out the excess buffer.
- 23) Elute Biogel-A-5m column with 10 bed volumes of 100 mM  
15 mannitol solution the day before use.
- 24) After antibody conjugation, pass liposomes through  
sequentially smaller (2 to .22 micron) NUCLEOPORE  
(Costar Co.) polycarbonate filters as required to  
reduce particle size below 400 nm. Verify  
20 intermediate and final particle size.
- 25) Drain excess buffer from column.
- 26) Gently and slowly load liposome suspension to top of  
column with a Pasteur pipet.
- 27) Slowly run liposomes into column, stopping the flow  
25 when the liposomes have just completely entered the  
top of the column. Do not allow the column to dry.

-38-

- 1 28) Wash liposomes off the sides of column with 2-3 mL of mannitol solution then run this into the column.
- 29) Slowly fill the column with 100mM mannitol solution containing 0.01 M potassium phosphate buffer, pH 7.0
- 5 being careful not to disturb the top of the gel column.
- 30) When the column is full of buffer, attach the buffer reservoir to the column with tubing.
- 31) Attach column to fraction collector with sufficient
- 10 tubes to collect 3 bed volumes. Liposomes will come out in the void volume and unbound antibody will follow close behind.
- 32) Collect fractions at a rate of 0.5 - 1.0 mL/min for best resolution.
- 15 33) Analyze fractions for particles using the N1COMP at maximum photopulse to isolate liposomes and a spectrophotometer at 280 nm to isolate protein fractions.
- 34) Pool fractions containing both liposomes and protein.
- 20 35) Concentrate liposomes to approximately 10 mg of lipid per mL using an appropriately sized Amicon ultrafiltration system (300 KDa membranes) according to the manufacturer's instructions.
- 25 36) Transfer 5 mL aliquots of liposomes into 25 mL liquid scintillation vials, layer with nitrogen and cap.



-39-

- 1 37) Either snap-freeze in acetone-alcohol-dry ice bath or  
freeze overnight in -70 degree centigrade deep  
freezer.
- 38) Transfer vials from the freezer to the lyophilizer
- 5 39) Transfer vials from the freezer to the lyophilizer  
jars and freeze dry for 48 hours according to the  
manufacturer's instructions.
- 39) After 48 hours of lyophilization, remove vials from  
the freeze dryer, gently layer contents with nitrogen,  
recap and seal with parafilm.
- 10 40) Store vials in refrigerator with desiccant until use  
within 72 hours.
- 41) Prepare 0.10 M Tris HCl + 0.10 M NaCl, pH 7.5, buffer.
- 42) To rehydrate, add 0.10 mM Tris HCl + 0.10 mM NaCl, pH  
7.5, buffer to each vial. The initial liposome size
- 15 will vary inversely with the volume of buffer added.  
A good starting volume is 2-3 mL of buffer/mL  
lyophilized liposome containing sufficient amount of  
ions to make a solution of the same osmolarity/mL of  
liposome suspension lyophilized.
- 20 43) Size liposomes with submicron particle analyzer.
- 44) Transfer liposome suspension to an appropriately sized  
syringe and extrude liposomes to desired particle size  
through polycarbonate membranes according to  
manufacturer's instruction until desired size is
- 25 attained. Note: Excessive extrusion will

-40-

1 significantly destroy liposome structure and diminish  
echogenicity. Be sure sample dilution buffer for  
particle size analysis is iso-osmotic with liposome  
solution to avoid artifactual swelling or contraction  
3 of vesicles and inaccurate size estimation.

45) Transfer liposomes into small 10 mL liquid  
scintillation vials and image liposome suspension with  
20 MHz CVIS intravascular imaging catheter system.

10

## EXAMPLE 3

Liposomes of four different compositions were prepared  
in triplicate using the procedures outlined in Example 1  
(without the optional addition of mannitol cryoprotectant)  
15 and imaged with a 20 MHz intravascular catheter to  
identify echogenicity. Two replicates were ultrasonically  
analyzed pre and post extrusion and the results of an  
overall split-plot statistical analysis  $y = \text{rep} +$   
 $\text{composition} + \text{rep} * \text{composition} + \text{time} + \text{composition} * \text{time} +$   
20  $\text{residual}$ , where  $\text{rep} * \text{composition}$  was used to test  
composition effects and residual error was used to test  
time effects (time equating to pre versus post

25

-41-

- 1 polycarbonate extrusion). Figures 1 through 8 are examples from the second replicate pre and post polycarbonate extrusion. Least-square means for the composition effect for the above model are presented.

	<u>Composition</u>	<u>Liposome</u>	<u>Liposome</u>
		<u>Mean Gray Scale</u>	<u>Gray Scale Heterogeneity</u>
	PC	3.31	3.34
10	PC:CH	9.82	8.33
	PC:PE	21.68*	11.94*
	PC:PE:CH	23.85*	13.86*

\*PC vs other combination ( $p \leq 0.05$ )

15

- In this study, change in solution acoustic reflectivity was quantitated as the increased pixel brightness (gray scale) of liposome clusters compared with background levels and the increase in pixel heterogeneity of the overall liposome/buffer image. Liposomes incorporating phosphatidylethanolamine were ultrasonically visible before and after extrusion to reduced particle sizes and this was reflected as a statistically significant increase in pixel gray scale and heterogeneity.
- 20
- 25 Phosphatidylcholine: cholesterol vesicles were echogenic before but not after extrusion.

-42-

1     Although the invention has been described primarily in  
connection with special and preferred embodiments, it will  
be understood that it is capable of modification without  
departing from the scope of the invention. The following  
5     claims are intended to cover all variations, uses, or  
adaptations of the invention; following, in general, the  
principles thereof and including such departures from the  
present disclosure as come within known or customary  
practice in the field to which the invention pertains, or  
10     as are obvious to persons skilled in the field.

-43-

## WE CLAIM:

1. A composition comprising: acoustically reflective oligolamellar liposome containing internally separated lipid bilayers.
2. The composition of Claim 1 wherein said liposome contains charged lipids.
3. The composition of Claim 1 wherein said liposome contains phosphatidylethanolamine.
4. The composition of Claim 1 wherein a ligand is conjugated to said lipid bilayer to increase separation of said bilayers.
5. The composition of Claim 1 wherein said liposome is conjugated to a tissue specific ligand.
6. The composition of Claim 5 wherein said ligand is an antibody.
7. The composition of Claim 1 wherein said liposome is between about 0.8 and 10 microns in diameter.
8. The composition of Claim 1 wherein said liposome contains a contrast agent.
9. A composition comprising: acoustically reflective oligolamellar liposome containing internally separated lipid bilayers, said liposome consisting essentially of phosphatidylethanolamine and other phospholipids.

-44-

10. The composition of Claim 9 wherein said phospholipids are phosphatidylcholine and phosphatidylethanolamine.

11. The composition of Claim 9 wherein said liposome includes cholesterol.

12. The composition of Claim 9 wherein said liposome is conjugated to a tissue specific ligand.

13. The composition of Claim 12 wherein said ligand is an antibody.

14. The composition of Claim 9 wherein said liposome is between about 0.8 and 10 microns in diameter.

15. The composition of Claim 9 wherein said liposome contains a contrast agent.

16. An acoustically reflective oligolamellar liposome containing internally separated lipid bilayers made by the process comprising:

- a) combining lipids including phosphatidylethanolamine to form a liposome particle;
- b) reducing the size of said particle to less than about 400 nm;
- c) lyophilizing said particle; and
- d) reducing particle size to between about 0.8 and 10 microns in diameter.

-45-

17. The composition of Claim 16 wherein said liposome is functionalized to receive a tissue specific ligand.

18. The composition of Claim 17 wherein said ligand is an antibody.

19. An acoustically reflective oligolamellar liposome containing internally separated lipid bilayers made by the process comprising:

- a) combining lipids, including phospholipids, in an organic solvent to form a solution;
- b) agitating said first solution to form a water-in-oil emulsion;
- c) combining said emulsion with a sucrose solution to form an emulsion solution;
- d) agitating said second solution to form a water-in-oil-in water emulsion;
- e) evaporating said organic solvent to form a multicompartmental liposome; and
- f) reducing the size of the liposome to between about 0.8 and 10 microns in diameter.

-46-

20. An acoustically reflective ligolamellar liposome containing internally separated lipid bilayers made by the process comprising:

- a) combining like charged phospholipids to form a multilamellar liposome;
- b) repeatedly freezing and thawing said liposome to form multicompartamental vesicles; and
- c) reducing the size of said liposome to between about 0.8 and 10 microns in diameter.

21. An acoustically reflective oligolamellar liposome containing internally separated bilayers made by the process comprising:

- a) preparing N-succinimidylpyrodyldithiopropionate derivatives of phosphatidylethanolamine;
- b) combining step (a) with components selected from the group consisting of phosphatidylcholine, cholesterol and phosphatidylglycerol, to form a liposome;
- c) reducing said liposome to less than about 400 nm;
- d) conjugating an antibody to the N-hydroxysuccinimidyl-dithiopropionate derivatives of phosphatidylethanolamine;



-47-

- e) collecting antibody-liposome complex;
- f) separating free antibody from antibody-liposome complexes;
- g) lyophilizing said conjugated liposomes;
- h) reducing particle size to between about 0.8 and 2.0 microns in diameter.

22. A method to make acoustically reflective oligolamellar liposomes containing internally separated bilayers comprising the steps of:

- a) combining phosphatidylethanolamine with other lipids to form a liposome particle;
- b) reducing the size of said particle to less than about 400 nm;
- c) lyophilizing said particle; and
- d) reducing particle size to between about 0.8 and 10 microns in diameter.

23. The method of Claim 22 wherein said liposome is functionalized to receive a tissue-specific ligand.

24. The method of Claim 23 wherein said ligand is an antibody.

-48-

25. A method to make acoustically reflective oligolamellar liposomes containing internally separated lipid bilayers comprising the steps of:

- a) combining lipids, including phospholipids in an organic solvent to form a solution;
- b) agitating said solution to form a water-in-oil emulsion;
- c) combining said emulsion with a sucrose solution to form a second solution;
- d) agitating said second solution to form a water-in-oil water emulsion;
- e) evaporating said organic solvent to form a multicompartmental liposome; and
- f) reducing the size of the liposome to between about 0.8 and 10 microns in diameter.

26. A method to make acoustically reflective oligolamellar liposome containing internally separated lipid bilayers comprising the steps of:

- a) combining phospholipids to form a multilamellar liposome;
- b) repeatedly freezing and thawing said liposome to form multicompartmental vesicles; and
- c) reducing the size of said liposome to between about 0.8 and 10 microns in diameter.

-49-

27. A method to make acoustically reflective oligolamellar liposome containing internally separated lipid bilayers comprising the steps of:

- a) preparing N-succinimidylpyridyl-dithiopropionate derivatives of phosphatidylethanolamine;
- b) combining step (a) with components selected from the group consisting of phosphatidylcholine, cholesterol and phosphatidglycerol, to form a liposome;
- c) reducing said liposome to less than about 400 nm;
- d) conjugating an antibody to the N-succinimidylpyridyl-dithiopropionate derivatives of phosphatidylethanolamine;
- e) collecting antibody-liposome complexes;
- f) separating free antibody from antibody-liposome complexes;
- g) lyophilizing said conjugated liposomes;
- h) reducing particle size to between about 0.8 to 2.0 microns in diameter.

-50-

28. A method to characterize specific tissue comprising:

- a) administering acoustically reflective oligolamellar liposomes containing internally separated lipid bilayers;
- b) measuring ultrasonic reflectance of said specific tissue; and
- c) comparing said measured reflectance pre and post administrants to characterize said specific tissue.

29. A method to characterize specific tissue comprising:

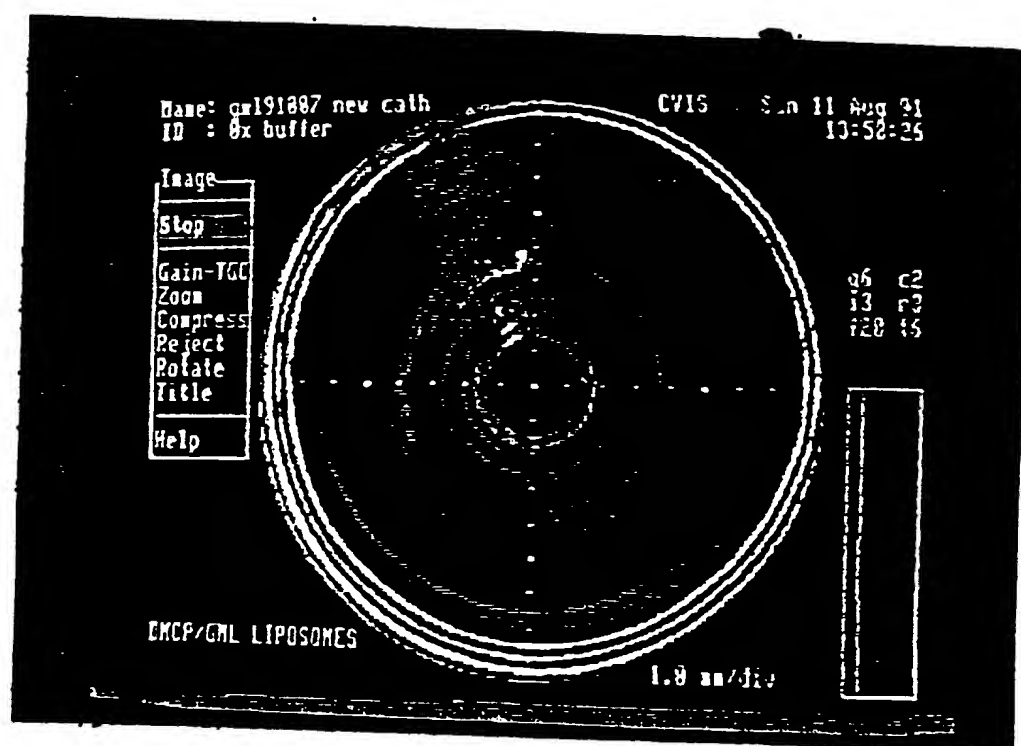
- a) administering an acoustically reflective oligolamellar liposome containing internally separated lipid bilayers, said liposomes being conjugated to a tissue-specific ligand;
- b) measuring ultrasonic reflectance of said specific tissue; and
- c) comparing said measured reflectance to a control to characterize said specific tissue.

30. The method of Claim 29 wherein said ligand is a monoclonal antibody.

-51-

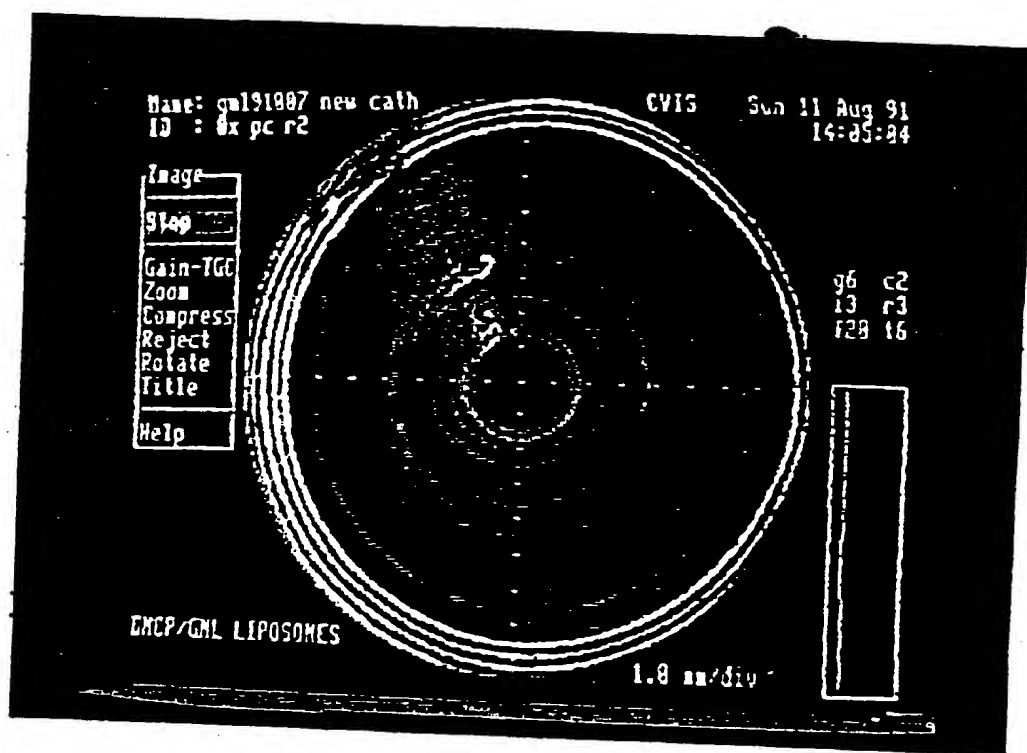
31. A method to characterize thrombus comprising:
  - a) administering acoustically reflective oligolamellar liposomes containing internally separated lipid bilayers conjugated to anti-fibrin antibody;
  - b) measuring ultrasonic reflectance;
  - c) comparing said measured reflectance to a control to characterize said thrombus;
  - d) lyophilizing said conjugated liposomes; and
  - e) reducing particle size to between about 0.8 to 2.0 microns in diameter.
32. A method to monitor drug delivered in a liposome administered to a patient's circulatory system comprising:
  - a) mixing a liposome containing the drug targeted to a specific tissue and an acoustically reflective oligolamellar liposome targeted to the same tissue to form a dispersion;
  - b) administering said dispersion to said patient and monitoring the delivery of said drug from said liposome containing said drug by detecting said acoustically liposome.

FIG. 1



BEST AVAILABLE COPY

FIG. 2



BEST AVAILABLE COPY

FIG. 3

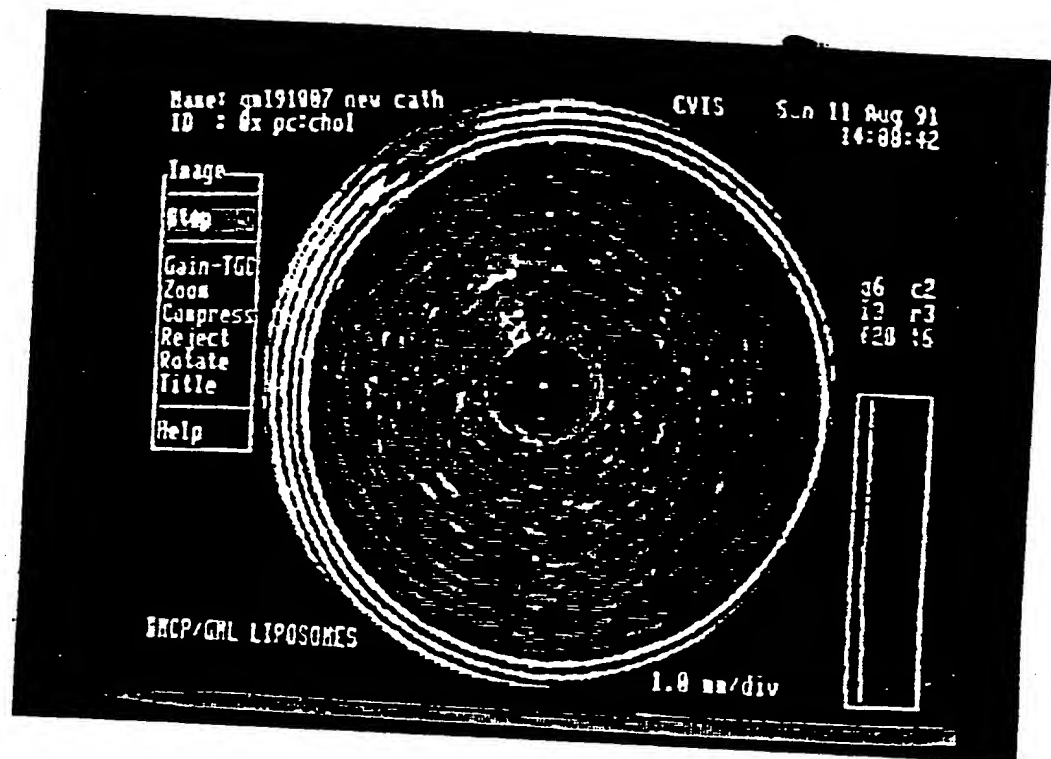




FIG. 4

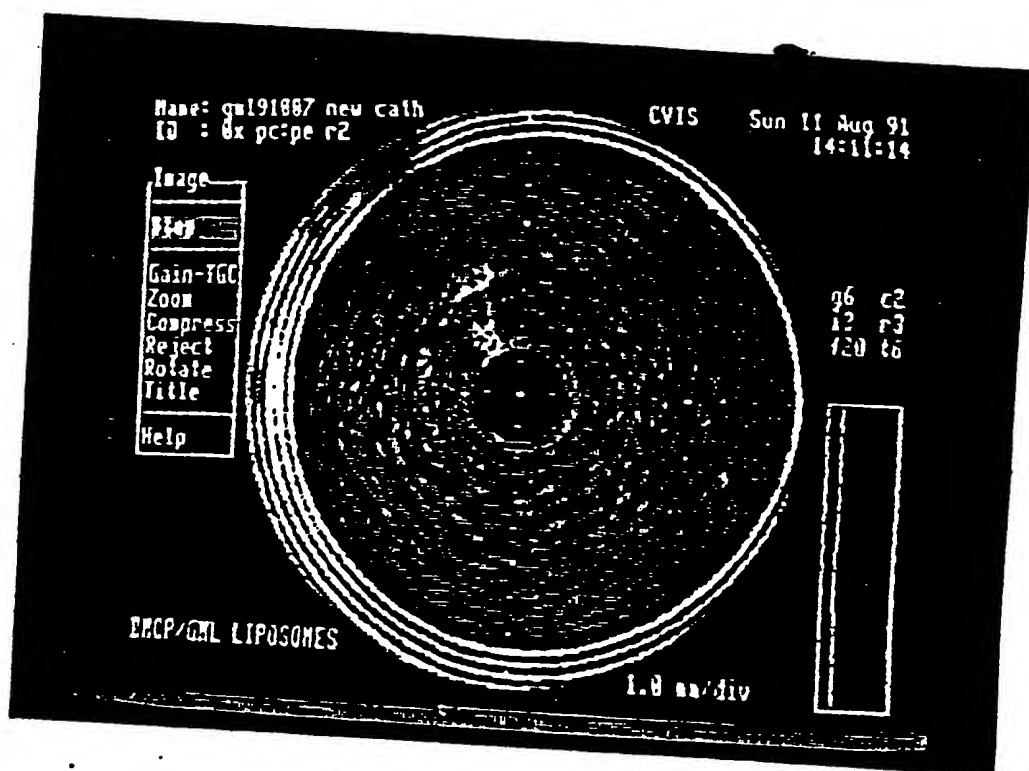


FIG. 5

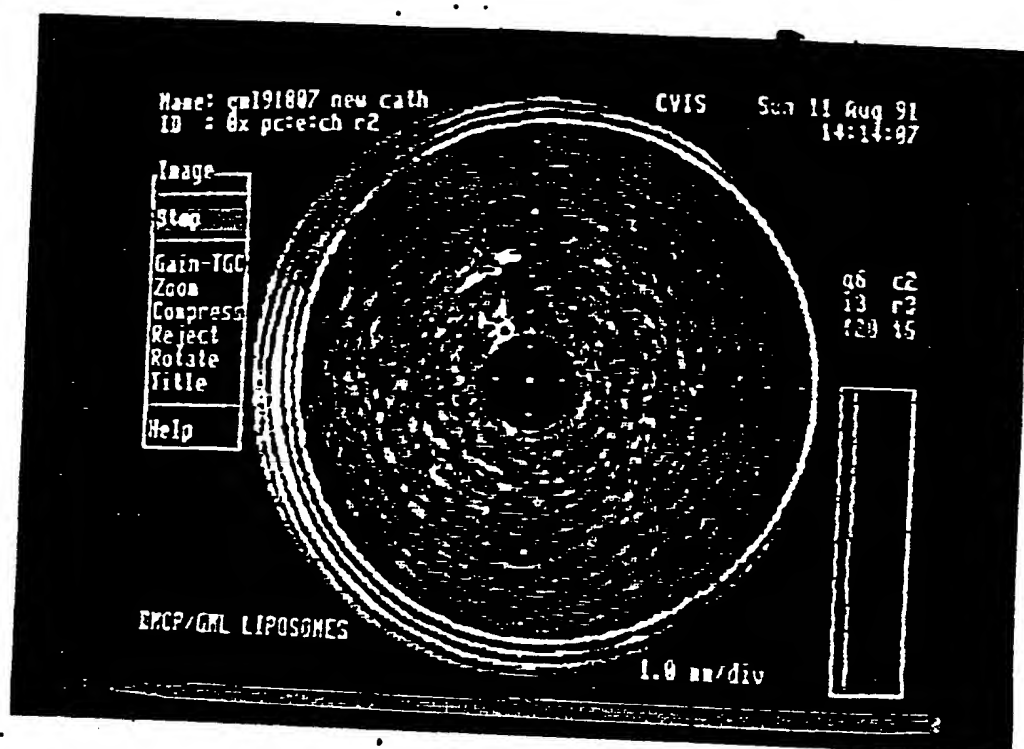


FIG. 6

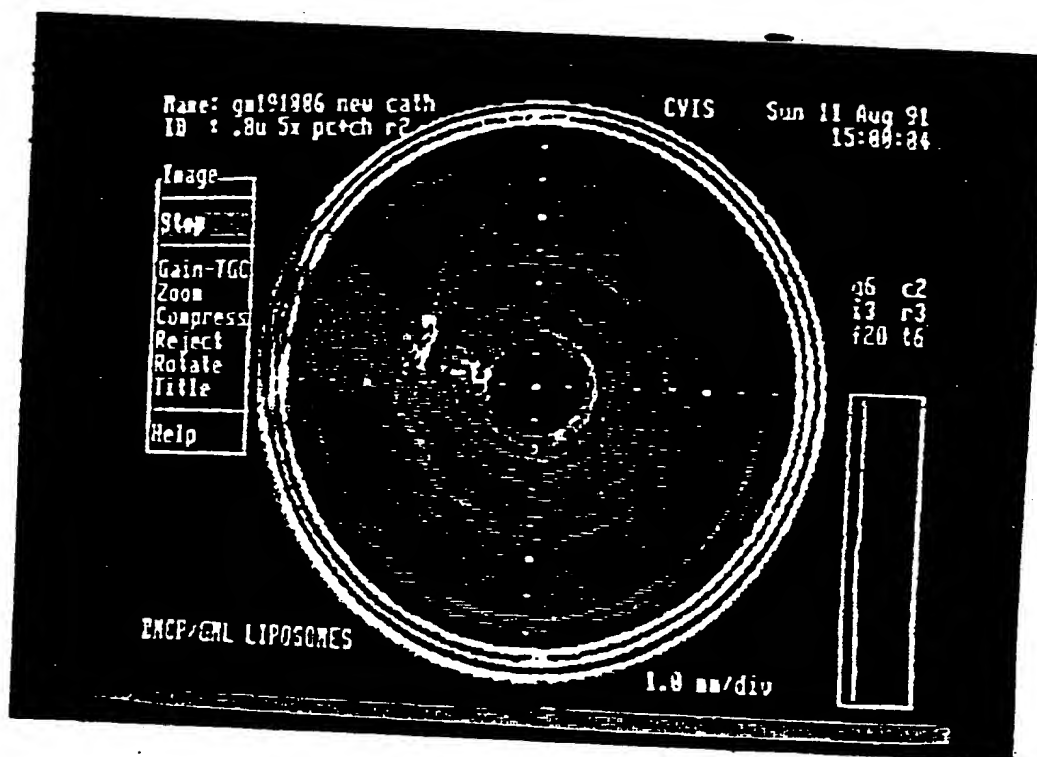


FIG. 7

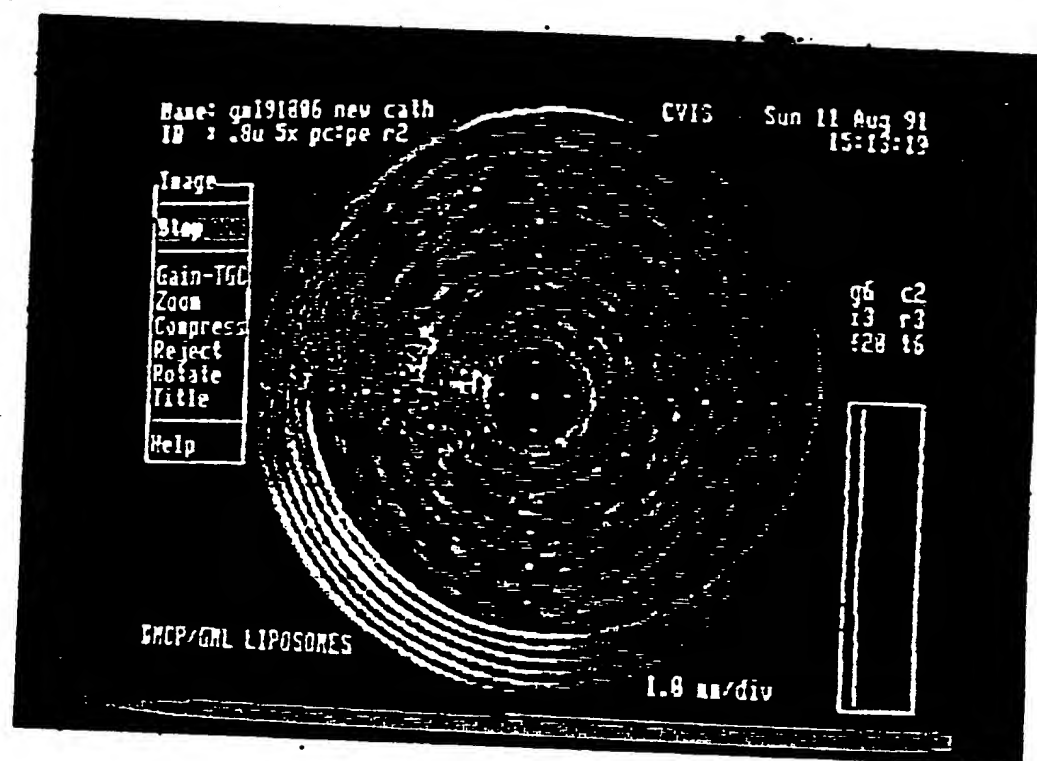
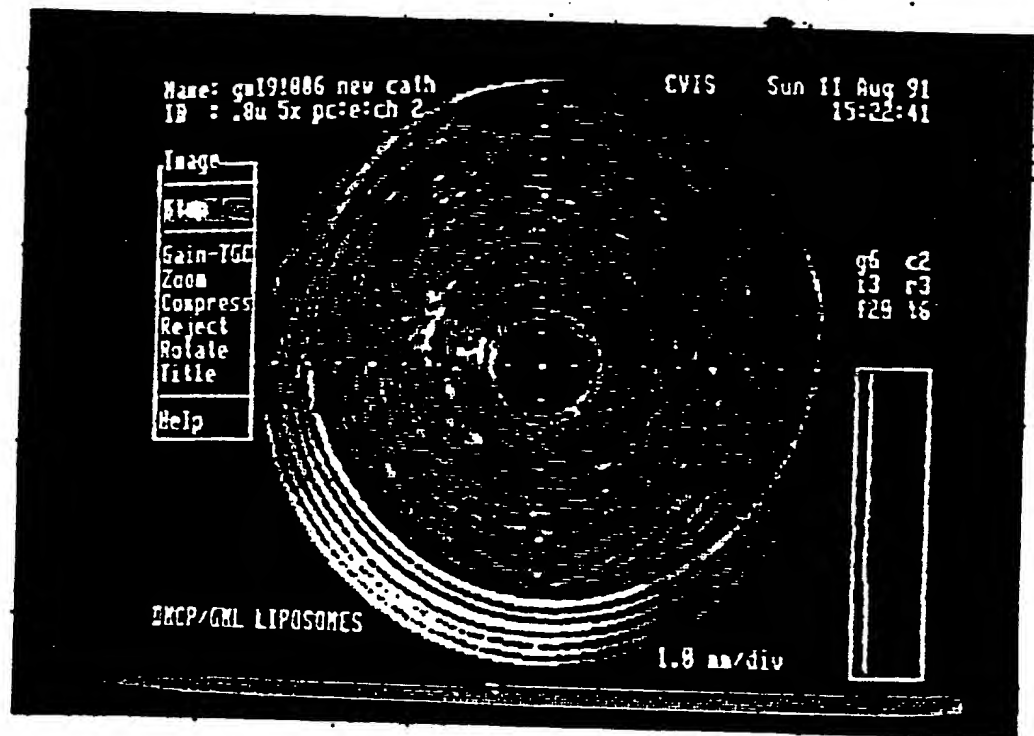


FIG. 8



PHOSPHOLIPID  
BILAYER

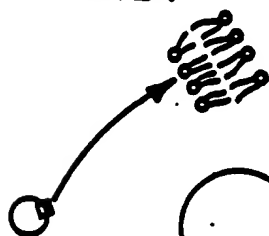


Fig. 9A

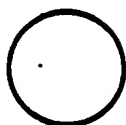


Fig. 9B

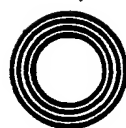


Fig. 9C



Fig. 9D



Fig. 9E

PC:U393/03291

# A. CLASSIFICATION OF SUBJECT MATTER

IPC: A61K 9/127  
US CL: 424/430

According to International Patent Classification (IPC), or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
U.S.: 424/430, 4

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Classification of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US, A, 4,873,035 (WONG) 10 OCTOBER 1989, See entire document.	1-24, 28-30
A	US, A, 4,900,549 (DE VRIES ET AL) 13 FEBRUARY 1990, See entire document.	1-24, 28-30
A	US, A, 4,971,916 (JOU ET AL) 1990, See entire document. 20 NOVEMBER	1-24, 28-30

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

- |   |   |
|---|---|
| <p>*A* Special categories of cited documents</p> <p>*A* document defining the general state of the art which is not considered to be part of particular relevance</p> <p>*T* earlier document published on or after the international filing date</p> <p>*L* document which may have priority claim(s) or which is cited to establish the publication date of another citation or other special cases (as specified)</p> <p>*O* document referring to an oral disclosure, use, exhibition or other means</p> <p>*P* document published prior to the international filing date but later than the priority date claim(s)</p> | <p>*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>*T* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>*T* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>*A* document member of the same patent family</p> |
|---|---|

Date of the actual completion of the international search  
12 AUGUST 1993

Date of mailing of the international search report

09 SEP 1993

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Authorized officer

G. S. KISHORE

Postmark No. NOT APPLICABLE

Telephone No. (703) 308-2351

**Box I** Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II** Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:  
(Form PCT/ISA/206 Previously Mailed.)  
Please See Extra Sheet.

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  
1-34 and 25-30

Remark on Protest

☐

The additional search fees were accompanied by the applicant's protest.  
No protest accompanied the payment of additional search fees.



**BOX E. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING**

**This EA found multiple inventions as follows:**

**1. Specimens in method of making claims:**

- a) Method in claims 22-34**

- b) Method in claim 25**

- c) Method in claim 26**

- 4) Method is shown 27

**II. Species in method of use claimed:**

- a) Method of use of claims 28-30**

- b) Method in claim 31

- c) Method in claim 32**

Species 1, 3-4 are independent and distinct in that they are different methods of making the composition.

Species II, a-c are different methods of use and thus are potentially distinct